

12-15-06

JFW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ROSE et al. Examiner: Unknown  
Serial No.: 10/594868 Group Art Unit: Unknown  
Filed: September 28, 2006 Docket: 09997.0138USWO  
Confirmation No.: Unknown Notice of Allow. N/A  
Date:   
Due Date: December 28, 2006  
Title: METHOD AND APPARATUS FOR COATING A SUBSTRATE USING DIELECTRIC BARRIER DISCHARGE

## CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 850718017 US

Date of Deposit: December 14, 2006

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

By:   
Nancy John Junkers

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**23552**

PATENT TRADEMARK OFFICE

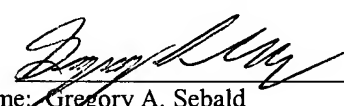
Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement, Form 1449, 5 Reference(s)
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
612.332.5300

By:   
Name: Gregory A. Sebald  
Reg. No.: 33,280  
GAS/ncb



10/594868

PATENT

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By: 

Name: John Jinkers

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. § 1.98(a)(2). Because this application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. § 1.98(a)(3)):

An English abstract has been provided for the German reference.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

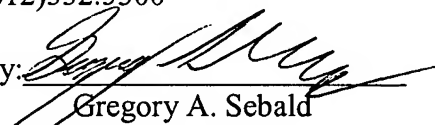
Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.



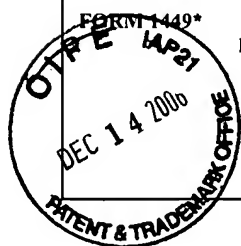
Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
(612)332.5300

Dated: 12/14/06

By:   
Gregory A. Sebald  
Reg. No.: 33,280

GAS/ncb


**INFORMATION DISCLOSURE STATEMENT**
**IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number:

09997.0138USWO

Application Number:

10/594868

Applicant: ROSE et al.

Filing Date: September 28,  
2006

Group Art Unit: Unknown

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	198 35 869 A1	02-2000	GERMANY			Abstract	
	0 351 950 A2	01-1990	EPO				
	0 351 950 A3	01-1990	EPO				
	1 231 470 A1	08-2002	EPO				
	03/086031 A1	10-2003	WIPO				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


**23552**

PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.